

## Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of June 30, 2016

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
<b>FIDUCIARY AND RELATED ASSETS</b>					
4. Personal trust and agency accounts.....	7,370,060	237,261	3,954	113	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	105,512	473,497	85	123	5.a.
b. Employee benefit - defined benefit.....	160,224	76,472	21	4	5.b.
c. Other employee benefit and retirement-related accounts.....	547,720	260,048	1,027	187	5.c.
6. Corporate trust and agency accounts.				1	6.
7. Investment management and investment advisory agency accounts.....	3,336,611	16,599	1,765	7	7.
8. Foundation and endowment trust and agency accounts	354,581	110,686	187	41	8.
9. Other fiduciary accounts .....	40,352	117	19	3	9.
10. Total fiduciary accounts (sum of items 4 through 9) .....	11,915,060	1,174,680	7,058	479	10.
11. Custody and safekeeping accounts.....		14,478,271		1,145	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	468,861	50,002	1,009	119	13.

### Schedule T - Fiduciary and Related Services - Continued

#### Memoranda

	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement- Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	172	-1	26	M.1.a.
b. Interest-bearing deposits.....	203,677	11,830	10,989	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	303,792	33,140	17,657	M.1.c.
d. State, county and municipal obligations.....	1,616,249	17,324	9,360	M.1.d.
e. Money market mutual funds.....	517,023	33,515	43,736	M.1.e.
f. Equity mutual funds.....	1,177,025	229,852	86,499	M.1.f.
g. Other mutual funds.....	1,130,108	184,737	68,282	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	76,595			
j. Other notes and bonds.....	434,921	58,616	38,428	M.1.g.
k. Investments in unregistered funds and private equity investments.....	863,513	679	415	
l. Other common and preferred stocks.....	2,629,998	239,715	101,227	M.1.h.
m. Real estate mortgages.....	60,325	384	5,173	M.1.i.
n. Real estate.....	903,395	1,184	10,493	M.1.j.
o. Miscellaneous assets.....	789,878	2,478	2,651	M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	10,706,671	813,453	394,936	

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A) Managed Assets	(Column B) Number of Managed Assets

Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....	1		M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....			M.3.h.

**P. Trust Business for Which Securities Are on Deposit with the State Treasurer**

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	387,636	26,151,859	P.1.
P.2. Less: real estate.....	57,108		P.2.
P.3. Trust business on which security is required.....	330,528	26,151,859	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	3,925	1,300	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	983,970	797,612	P.5.
P.6. Excess or deficiency; denote negative amount in ( )......	980,045	796,312	P.6.

**Schedule T - Fiduciary and Related Services**  
**(State Chartered Banks)**  
**as of June 30, 2016**

**SCHEDULE T -Fiduciary and Related Services**

**INCOME**

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	19,589	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution .....	1,192	15.a.
b. Employee benefit-defined benefit.....	329	15.b.
c. Other employee benefit and retirement-related accounts.....	2,117	15.c.
16. Corporate trust and agency accounts .....		16.
17. Investment management and investment advisory agency accounts .....	8,902	17.
18. Foundation and endowment trust and agency accounts.....	890	18.
19. Other fiduciary accounts .....	85	19.
20. Custody and safekeeping accounts .....	2,559	20.
21. Other fiduciary and related services income.....	110	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21) .....	35,773	22.
23. Less: Expenses.....	34,401	23.
24. Less: Net losses from fiduciary and related services.....	36	24.
25. Plus: Intracompany income credits for fiduciary and related services .....	4,238	25.
26. Net fiduciary and related services income .....	5,573	26.

Memoranda

	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	22		1	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts .....				M.4.b.
c. Investment management and investment advisory..... agency accounts.....	23		13	M.4.c.
d. Other fiduciary accounts and related services.....	2			M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	47		14	M.4.e.